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**TRANSMITTAL  
FORM**

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/997,855	
	Filing Date	November 29, 2001	
	First Named Inventor	Segal	
	Art Unit	3763	
	Examiner Name	Maynard	
Total Number of Pages in This Submission	50	Attorney Docket Number	70802.01

**ENCLOSURES** (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Other Enclosure(s) (please Identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
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<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Michael Klicpera
Signature	<i>Michael Klicpera</i>
Date	05/24/2004

**CERTIFICATE OF TRANSMISSION/MAILING**

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Typed or printed name	Michael Klicpera		
Signature	<i>Michael Klicpera</i>	Date	05/24/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re application of:**

**SEGAL**

**Serial No. 09/997,855**

**Filed: November 29, 2001**

**For: Mechanical Apparatus and  
Method for Dilating and  
Delivering a Therapeutic Agent  
to a Site of Treatment**

**Examiner: Unknown**

**Group Art Unit: 3763**

**Attorney Docket: 70802.01**

**San Diego, California**

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**Sir:**

**Transmitted herewith is an amendment for entry in the above identified patent application.**

☒ **Response to Office Action**  
☒ **Transmittal Form/Certificate of Mailing**

**DATED this 24th day of May, 2004.**

**Respectfully submitted,**

*Michael E. Klicpera*

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Attorney for Applicants  
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